Cut-elimination for the mu-calculus with one variable

Grigori Mints

Dept. of Philosophy Stanford University USA

gmints@stanford.edu

Thomas Studer

Inst. of Computer Science and Appl. Math.
University of Bern
Switzerland

tstuder@iam.unibe.ch

We establish syntactic cut-elimination for the one-variable fragment of the modal mu-calculus. Our method is based on a recent cut-elimination technique by Mints that makes use of Buchholz' Ω -rule.

1 Introduction

The propositional modal μ -calculus is a well-established modal fixed point logic that includes fixed points for arbitrary positive formulae. Thus it subsumes many temporal logics (with an always operator), epistemic logics (with a common knowledge operator), and program logics (with an iteration operator).

Making use of the finite model property, Kozen [10] introduces a sound and complete infinitary system for the modal μ -calculus. In this system greatest fixed points are introduced by means of the ω -rule that has a premise for each finite approximation of the greatest fixed point. Jäger et al. [8] show by *semantic* methods that the cut rule is admissible in this kind of infinitary systems. So far, however, there is no *syntactic* cut-elimination procedure available for the modal μ -calculus. It is our aim in this paper to present an effective cut-elimination method for the one-variable fragment of the μ -calculus.

There are already a few results available on syntactic cut-elimination for modal fixed point logics. Most of them make use of deep inference where rules may not only be applied to outermost connectives but also deeply inside formulae. The first result of this kind has been obtained by Pliuskevicius [12] who presents a syntactic cut-elimination procedure for linear time temporal logic. Brünnler and Studer [2] employ nested sequents to develop a cut-elimination procedure for the logic of common knowledge. Hill and Poggiolesi [7] use a similar approach to establish effective cut-elimination for propositional dynamic logic. A generalization of this method is studied in [3] where it is also shown that it cannot be extended to fixed points that have a \square -operator in the scope of a μ -operator. Fixed points of this kind occur, for instance, in CTL in the form of universal path quantifiers.

Thus we need a more general approach to obtain syntactic cut-elimination for the modal μ -calculus. A standard proof-theoretic technique to deal with inductive definitions and fixed points is Buchholz' Ω -rule [4, 6]. Jäger and Studer [9] present a formulation of the Ω -rule for non-iterated modal fixed point logic and they obtain cut-elimination for positive formulae of this logic. In order to overcome this restriction to positive formulae, Mints [11] introduces an Ω -rule that has a wider set of premises, which enables him to obtain full cut-elimination for non-iterated modal fixed point logic.

Mints' cut-elimination algorithm makes use of, in addition to ideas from [5], a new tool presented in [11]. It is based on the distinction, see [13], between implicit and explicit occurrences of formulae in a derivation with cut. If an occurrence of a formula is traceable to the endsequent of the derivation, then it is called explicit. If it is traceable to a cut-formula, then it is an implicit occurrence.

Implicit and explicit occurrences of greatest fixed points are treated differently in the translation of the induction rule to the infinitary system. An instance of the induction rule that derives a sequent

vX.A, B goes to an instance of the ω -rule if vX.A is explicit. Otherwise, if vX.A is traceable to a cut-formula, the induction rule is translated to an instance of the Ω -rule that is preserved until the last stage of cut-elimination. At that stage, called collapsing, the Ω -rule is eliminated completely.

In the present paper we show that this method can be extended to a μ -calculus with iterated fixed points. Hence we obtain complete syntactic cut-elimination for the one-variable fragment of the modal μ -calculus. Our infinitary system is completely cut-free in the sense that there are not only no cut rules in the system but also no embedded cuts. Thus our cut-free system enjoys the subformula property. This is in contrast to the recent cut-elimination results by Baelde [1] and by Tiu and Momigliano [14] for the finitary systems μ MALL and Linc⁻, respectively, where the ν -introduction rule and the co-induction rule contain embedded cuts, which results in the loss of the subformula property.

2 Syntax and semantics

We first introduce the language \mathcal{L} . We start with a countable set PROP of atomic propositions p_i and their negations $\overline{p_i}$. We use P to denote an arbitrary element of PROP. Moreover, we will use a special variable X.

Definition 1. *Operator forms* A, B, \ldots are given by the following grammar:

$$A :== p_i \mid \overline{p_i} \mid X \mid A \wedge A \mid A \vee A \mid \Box A \mid \Diamond A \mid \mu X.A \mid \nu X.A.$$

Formulae F are defined by:

$$F :== p_i \mid \overline{p_i} \mid F \land F \mid F \lor F \mid \Box F \mid \Diamond F \mid \mu X.A \mid \nu X.A.$$

The fixed point operators μ and v bind the variable X and, therefore, we will talk of free and bound occurrences of X. Hence a formula is an operator form without free occurrences of X.

The negation of an operator form is inductively defined as follows.

- 1. $\neg p_i := \overline{p_i}$ and $\neg \overline{p_i} := p_i$
- $2. \neg X := X$
- 3. $\neg (A \land B) := \neg A \lor \neg B$ and $\neg (A \lor B) := \neg A \land \neg B$
- 4. $\neg \Box A := \Diamond \neg A$ and $\neg \Diamond A := \Box \neg A$
- 5. $\neg \mu X.A := \nu X. \neg A$ and $\neg \nu X.A := \mu X. \neg A$

Note that negation is well-defined: the negation of an *X*-positive operator form is again *X*-positive since we have $\neg X := X$. Thus, for example,

$$\neg \mu X. \Box (p_i \land X) := \nu X. \neg \Box (p_i \land X) := \nu X. \Diamond \neg (p_i \land X) := \nu X. \Diamond (\neg p_i \lor \neg X) := \nu X. \Diamond (\overline{p_i} \lor X).$$

For an arbitrary but fixed atomic proposition p_i we set $\top := p_i \vee \overline{p_i}$. If A is an operator form, then we write A(B) for the result of simultaneously substituting B for every free occurrence of X in A. We will also use finite iterations of operator forms, given as follows

$$A^{0}(B) := B \text{ and } A^{k+1}(B) := A(A^{k}(B)).$$

G. Mints & T. Studer

$$\Gamma, P, \neg P \qquad \Gamma, \mu X.A, \neg \mu X.A$$

$$\frac{\Gamma, A, B}{\Gamma, A \vee B} (\vee) \qquad \frac{\Gamma, A}{\Gamma, A \wedge B} (\wedge) \qquad \frac{\Gamma, A}{\diamondsuit \Gamma, \Box A, \Sigma} (\Box)$$

$$\frac{\Gamma, A(\mu X.A)}{\Gamma, \mu X.A} (\mathsf{clo}) \qquad \frac{\neg A(B), B}{\neg \mu X.A, B} (\mathsf{ind}) \qquad \frac{\Gamma, A}{\Gamma} (\mathsf{cut})$$

Figure 1: System M

3 System M

System **M** derives sequents, that are finite sets of formulae. We denote sequents by Γ, Σ and use the following notation: if $\Gamma := \{A_1, \dots, A_n\}$, then $\Diamond \Gamma := \{\Diamond A_1, \dots, \Diamond A_n\}$, System **M** consists of the axioms and rules given in Figure 1.

4 System M^{ω}

System \mathbf{M}^{ω} is an infinitary cut-free system for the modal μ -calculus with one variable. It consists of the axioms and rules given in Figure 2.

$$\Gamma, P, \neg P$$

$$\frac{\Gamma, A, B}{\Gamma, A \vee B} (\vee) \qquad \frac{\Gamma, A}{\Gamma, A \wedge B} (\wedge) \qquad \frac{\Gamma, A}{\diamondsuit \Gamma, \Box A, \Sigma} (\Box)$$

$$\frac{\Gamma, A(\mu X.A)}{\Gamma, \mu X.A} (\mathsf{clo}) \qquad \frac{\Gamma, A^i(\top) \text{ for all natural numbers } i}{\Gamma, v X.A} (\omega)$$

Figure 2: System \mathbf{M}^{ω}

5 System $\mathbf{M}_k^{\omega,\Omega}$

In order to embed \mathbf{M} into \mathbf{M}^{ω} , we need a family of intermediate systems $\mathbf{M}_{k}^{\omega,\Omega}$ that include additional rules to derive greatest fixed points that later will be cut away.

The language \mathcal{L}_{Ω} extends \mathcal{L} by a new connective v' to denote those greatest fixed points. Formally, \mathcal{L}_{Ω} is given as follows. Operator forms of \mathcal{L}_{Ω} are defined like operator forms of \mathcal{L} with the additional case

1. If A is an operator form, then v'X.A is also an operator form.

A formula of \mathcal{L}_{Ω} is an \mathcal{L}_{Ω} operator form without free occurrence of X. A formula is a *greatest fixed* point if it has the form vX.A or v'X.A.

Definition 2. The level lev(A) of an operator form A is the maximal nesting of fixed point operators in A. Formally we set:

- 1. lev(P) := lev(X) := 0 for all P in PROP
- 2. $\operatorname{lev}(A \wedge B) := \operatorname{lev}(A \vee B) := \max(\operatorname{lev}(A), \operatorname{lev}(B))$
- 3. $\operatorname{lev}(\Box A) := \operatorname{lev}(\Diamond A) := \operatorname{lev}(A)$
- 4. $lev(\mu X.A) := lev(\nu X.A) := lev(\nu' X.A) := lev(A) + 1$

The level of a sequent is the maximum of the levels of its formulae. We say a formula (sequent) is *k-positive* if for all v'X.A occurring in it we have lev(v'X.A) < k.

When working in $\mathbf{M}_{k}^{\omega,\Omega}$, we will use the following notation: the formula A' is obtained from A by

replacing all occurrences of vX in A with v'X. Let $k \geq 0$. System $\mathbf{M}_k^{\omega,\Omega}$ consists of the axioms and rules of \mathbf{M}^{ω} (formulated in \mathscr{L}_{Ω}) and the additional rules: cut, Ω_h , and $\tilde{\Omega}_h$. The cut rule is given as follows

$$\frac{\Gamma, A'}{\Gamma}$$
 $\frac{\Gamma, (\neg A)'}{\Gamma}$ (cut),

where A is a formula with lev(A) $\leq k$. The rules Ω_h and $\tilde{\Omega}_h$, where $1 \leq h \leq k$, are informally described as follows:

$$\frac{\mathbf{M}_{k-1}^{\boldsymbol{\omega},\Omega} \mid_{\overline{0}} \Delta, (\mu X.A)'}{\Delta, \Gamma} \cdots \qquad \qquad \cdots \\ \frac{\Delta, \Gamma}{\Gamma, (\neg \mu X.A)'} \qquad \qquad \cdots$$

and

where $\operatorname{lev}((\neg \mu X.A)') = h$ and Δ ranges over h-positive sequents such that there is a cut-free proof of the sequent Δ , $(\mu X.A)'$ in $\mathbf{M}_{k-1}^{\omega,\Omega}$.

Definition 3. We use $\mathbf{M}_k^{\omega,\Omega}|_{\overline{0}}$ Γ to express that there is a cut-free derivation of Γ in $\mathbf{M}_k^{\omega,\Omega}$.

In a more formal notation we can state the Ω_h -rule as follows. If for every h-positive sequent Δ

$$\mathbf{M}_{k-1}^{\omega,\Omega}|_{\overline{0}} \Delta, (\mu X.A)' \implies \mathbf{M}_{k}^{\omega,\Omega}|_{\overline{0}} \Delta, \Gamma,$$

then

$$\mathbf{M}_{k}^{\omega,\Omega} \vdash \Gamma, (\neg \mu X.A)',$$

and similarly for $\tilde{\Omega}_h$.

Note that System $\mathbf{M}_0^{\omega,\Omega}$ does not include Ω_h - or $\tilde{\Omega}_h$ -rules. Hence we immediately get the following lemma.

Lemma 4. Let Γ be an \mathcal{L} sequent. We have

$$\mathbf{M}_0^{\omega,\Omega} \mid_{\overline{0}} \Gamma \implies \mathbf{M}^{\omega} \vdash \Gamma.$$

G. Mints & T. Studer

6 Embedding

In this section we present a translation from **M**-proofs into $\mathbf{M}_k^{\omega,\Omega}$ -proofs. First we establish an auxiliary lemma.

Lemma 5. For all natural numbers $h \le k$ we have the following.

1. If
$$lev(\mu X.A) = h$$
, then $\mathbf{M}_k^{\omega,\Omega}|_{\overline{0}} \mu X.A$, $\neg \mu X.A$.

$$2. \ \ \textit{If} \ \ \mathsf{lev}(A) = \textit{h, then} \ \ \mathbf{M}_{\textit{k}}^{\omega,\Omega} \big|_{\overline{0}} \ \Gamma, A' \quad \Longrightarrow \quad \ \mathbf{M}_{\textit{k}}^{\omega,\Omega} \big|_{\overline{0}} \ \Gamma, A.$$

3. If
$$\operatorname{lev}(\mu X.A) = h$$
, then $\mathbf{M}_k^{\omega,\Omega} \mid_{\overline{\Omega}} \mu X.A$, $(\neg \mu X.A)'$.

4. If
$$\operatorname{lev}(A) = h$$
, then $\mathbf{M}_{k}^{\omega,\Omega} |_{\overline{\Omega}} B, C \implies \mathbf{M}_{k}^{\omega,\Omega} |_{\overline{\Omega}} (\neg A)(B), A(C)$.

5. If
$$\operatorname{lev}(A) = h$$
, then $\mathbf{M}_k^{\omega,\Omega}|_{\overline{0}} B, C' \implies \mathbf{M}_k^{\omega,\Omega}|_{\overline{0}} (\neg A)(B), A'(C')$.

Proof. The five statements are shown simultaneously by induction on h. For space considerations we show only one particular case of the second statement, which is shown by induction on the derivation of Γ , A' and a case distinction on the last rule. Assume the last rule is an instance of Ω_h with main formula A'. We have $A' = (vX.A_0)'$ with $\text{lev}(A_0) < h$. By the premise of the Ω_h -rule we have for all h-positive sequents Δ

$$\mathbf{M}_{k-1}^{\omega,\Omega} \mid_{\overline{0}} \Delta, (\mu X. \neg A_0)' \implies \mathbf{M}_k^{\omega,\Omega} \mid_{\overline{0}} \Delta, \Gamma. \tag{1}$$

Trivially we have

$$\mathbf{M}_{k}^{\omega,\Omega}\big|_{\overline{0}} \top, \Gamma. \tag{2}$$

We also have

$$\mathbf{M}_{k-1}^{\omega,\Omega}|_{\overline{0}} \top, (\mu X. \neg A_0)'$$

from which we get by the induction hypothesis for the fifth claim of this lemma

$$\mathbf{M}_{k-1}^{\omega,\Omega}|_{\overline{0}} A_0(\top), (\neg A_0)'((\mu X.\neg A_0)').$$

An application of clo yields

$$\mathbf{M}_{k-1}^{\omega,\Omega} \mid_{\overline{0}} A_0(\top), (\mu X. \neg A_0)'.$$

By (1) we get

$$\mathbf{M}_{k}^{\omega,\Omega}|_{\overline{0}} A_{0}(\top),\Gamma. \tag{3}$$

Note that (2) and (3) are the first two premises of an instance of ω . By further iterating this we obtain for all i

$$\mathbf{M}_{k}^{\omega,\Omega}|_{\overline{0}} A_{0}^{i}(\top),\Gamma.$$

Hence an application of ω yields

$$\mathbf{M}_{k}^{\omega,\Omega}|_{\overline{0}} vX.A_{0},\Gamma.$$

We will need a certain form of the induction rule in $\mathbf{M}_k^{\omega,\Omega}$, which we are going to derive next. We write $\Sigma[(\mu X.A)':=B]$ for the result of simultaneously replacing in every formula in Σ every occurrence of $(\mu X.A)'$ with B.

Lemma 6. Let A be an operator form with $lev(vX.A) \le k$. Let $\Delta, \Sigma_1, \Sigma_2$ be h-positive sequents and let B be a formula with $lev(B) \le k$. Assume that

$$\mathbf{M}_{k}^{\omega,\Omega} \vdash (\neg A(B))', B \quad and \quad \mathbf{M}_{k}^{\omega,\Omega} \vdash (\neg A(B))', B'.$$

Then we have, if

$$\mathbf{M}_{k-1}^{\omega,\Omega} \mid_{\overline{0}} \Delta, \Sigma_1, \Sigma_2$$

then

$$\mathbf{M}_{\iota}^{\omega,\Omega} \vdash \Delta, \Sigma_{1}[(\mu X.A)' := B], \Sigma_{2}[(\mu X.A)' := B'].$$

Lemma 7. Let A be an operator form with $lev(vX.A) \le k$. Further let B be an arbitrary formula with $lev(B) \le k$. Assume that

$$\mathbf{M}_{k}^{\omega,\Omega} \vdash (\neg A(B))', B \quad and \quad \mathbf{M}_{k}^{\omega,\Omega} \vdash (\neg A(B))', B'.$$

Then we have

$$\mathbf{M}_k^{\omega,\Omega} \vdash (\neg \mu X.A)', B \quad and \quad \mathbf{M}_k^{\omega,\Omega} \vdash (\neg \mu X.A)', B'.$$

Proof. Let h = lev(vX.A). In view of our assumptions and the previous lemma we know that for all h-positive sequents Δ

$$\mathbf{M}_{k-1}^{\omega,\Omega} \mid_{\overline{0}} \Delta, (\mu X.A)' \quad \Longrightarrow \quad \mathbf{M}_{k}^{\omega,\Omega} \mid_{\overline{}} \Delta, B.$$

Hence by an application of the Ω_h -rule we conclude $\mathbf{M}_k^{\omega,\Omega} \vdash (\neg \mu X.A)', B$. Similarly, we can derive $\mathbf{M}_k^{\omega,\Omega} \vdash (\neg \mu X.A)', B'$.

Theorem 8. Let Γ be a sequent of \mathscr{L} . Assume $\mathbf{M} \vdash \Gamma$ and assume further for any sequent Δ occurring in that proof we have $\operatorname{lev}(\Delta) \leq k$. Then we have $\mathbf{M}_k^{\omega,\Omega} \vdash \Gamma$.

Proof. An operation σ on sequents is called '-operation if $\sigma(\Gamma, A_1, \dots, A_n) = \Gamma, A'_1, \dots, A'_n$. The result of applying σ to a sequent Γ is denoted Γ^{σ} .

To establish the theorem, we show by induction on the depth of the M-proof that for all '-operations σ , we have $\mathbf{M}_k^{\omega,\Omega} \vdash \Gamma^{\sigma}$. We distinguish the following cases for the last rule.

- 1. Γ is an axiom different from $\Gamma_0, \mu X.A, \neg \mu X.A$. Then Γ^{σ} is an axiom of $\mathbf{M}_k^{\omega,\Omega}$, too.
- 2. Γ is $\Gamma_0, \mu X.A, \neg \mu X.A$. Then Γ^{σ} follows either by the first or the third claim of Lemma 5 depending on whether $\neg \mu X.A$ is replaced by σ or not.
- 3. The last rule is an instance of \wedge , \vee , \square or clo. We can apply the same rule in $\mathbf{M}_{k}^{\omega,\Omega}$.
- 4. The last rule is a cut

$$\frac{\Gamma,A}{\Gamma}$$
.

We extend the current '-operation σ to a '-operation τ such that $(\Gamma, A)^{\tau} = \Gamma^{\sigma}, A'$ and $(\Gamma, \neg A)^{\tau} = \Gamma^{\sigma}, (\neg A)'$ By the induction hypothesis for the '-operation τ we obtain $\mathbf{M}_k^{\omega,\Omega} \vdash \Gamma^{\sigma}, A'$ as well as $\mathbf{M}_k^{\omega,\Omega} \vdash \Gamma^{\sigma}, (\neg A)'$. With an instance of cut we get $\mathbf{M}_k^{\omega,\Omega} \vdash \Gamma^{\sigma}$.

- 5. The last rule is an instance of the induction rule. Then the endsequent has the form $\neg \mu X.A, B$ which is $\nu X. \neg A, B$. There are two possible cases.
 - (a) The principal occurrence of vX. $\neg A$ is not changed by σ . By the induction hypothesis we can derive $(\neg A(B))'$, B^{σ} and $(\neg A(B))'$, B'. We obtain our claim by the following proof.

G. Mints & T. Studer 7

$$\frac{\frac{(\neg A(B))', B'}{(\neg A)(\top), (A(B))'}}{(\neg A)(\top), B'} \text{L. 5}$$

$$\vdots$$

$$\frac{(\neg A(B))', B^{\sigma}}{(\neg A(B))', B^{\sigma}} \text{I.H.} \qquad \frac{(\neg A)^{i}(\top), B'}{(\neg A)^{i+1}(\top), (A(B))'} \text{L. 5}$$

$$\cdots \qquad \frac{(\neg A)^{i+1}(\top), B^{\sigma}}{(\neg A)^{i+1}(\top), B^{\sigma}} \qquad \cdots$$

$$vX. \neg A, B^{\sigma}$$

(b) The principal occurrence of vX. $\neg A$ is changed by σ . Let τ_1, τ_2 be '-operations such that

$$(\neg A(B), B)^{\tau_1} = (\neg A(B))', B$$

and

$$(\neg A(B), B)^{\tau_2} = (\neg A(B))', B'.$$

By the induction hypothesis for τ_1 and τ_2 we obtain

$$\mathbf{M}_k^{\omega,\Omega} \vdash (\neg A(B))', B$$
 and $\mathbf{M}_k^{\omega,\Omega} \vdash (\neg A(B))', B'$.

We apply Lemma 7 and conclude $\mathbf{M}_{k}^{\omega,\Omega} \vdash (\neg \mu X.A)', B^{\sigma}$.

7 Cut elimination

We eliminate instances of cut in the standard way, see for instance [5, 11], by pushing them up the derivation. When an instance of cut with cut formulae $(\mu X.A)'$ and $(\neg \mu X.A)'$ meets the instance of Ω_h that introduces $(\neg \mu X.A)'$, this pair of inferences is replaced by $\tilde{\Omega}_h$.

Lemma 9 (Cut-elimination). *If* $\mathbf{M}_{k}^{\omega,\Omega} \vdash \Gamma$, then $\mathbf{M}_{k}^{\omega,\Omega} \vdash_{\overline{0}} \Gamma$.

The cut-elimination process terminates in a formally cut-free derivation that may contain instances of $\tilde{\Omega}_h$ -rules. Now we show that these instances of $\tilde{\Omega}_h$ also can be eliminated.

Lemma 10 (Collapsing). Let Γ be an (h+1)-positive sequent. If $\mathbf{M}_{k}^{\omega,\Omega} \mid_{\overline{0}} \Gamma$, then $\mathbf{M}_{h}^{\omega,\Omega} \mid_{\overline{0}} \Gamma$.

Proof. By transfinite induction on the derivation in $\mathbf{M}_{k}^{\omega,\Omega}$. The only interesting case is when the last rule is an instance of $\tilde{\Omega}_{l}$ for $h < l \le k$ as follows

$$\frac{\mathbf{M}_{l-1}^{\boldsymbol{\omega},\Omega} \mid_{\overline{0}} \Delta, (\mu X.A)'}{\Gamma} \cdots \frac{\mathbf{M}_{l-1}^{\boldsymbol{\omega},\Omega} \mid_{\overline{0}} \Delta, (\mu X.A)'}{\Delta, \Gamma} \cdots \tilde{\Omega}_{l}$$

Note that Γ , $(\mu X.A)'$ is *l*-positive. Thus by the induction hypothesis we get

$$\mathbf{M}_{l-1}^{\omega,\Omega} \mid_{\overline{\Omega}} \Gamma, (\mu X.A)'. \tag{4}$$

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Moreover, also by the induction hypothesis we get for all (h+1)-positive Δ

$$\mathbf{M}_{l-1}^{\omega,\Omega} \mid_{\overline{0}} \Delta, (\mu X.A)' \implies \mathbf{M}_{h}^{\omega,\Omega} \mid_{\overline{0}} \Delta, \Gamma.$$
 (5)

Now we plug (4) in (5) and obtain $\mathbf{M}_{h}^{\omega,\Omega} \mid_{\overline{0}} \Gamma$ as required.

We now have all ingredients ready for our main result.

Corollary 11. Let Γ be an \mathcal{L} -sequent. We have

$$\mathbf{M} \vdash \Gamma \implies \mathbf{M}^{\omega} \vdash \Gamma.$$

Proof. Assume $\mathbf{M} \vdash \Gamma$. By Theorem 8 we get $\mathbf{M}_k^{\omega,\Omega} \vdash \Gamma$ for some k. By cut-elimination we obtain $\mathbf{M}_k^{\omega,\Omega} \models_{\overline{\Omega}} \Gamma$. Then collapsing yields $\mathbf{M}_0^{\omega,\Omega} \models_{\overline{\Omega}} \Gamma$ which finally gives us $\mathbf{M}^{\omega} \vdash \Gamma$ by Lemma 4.

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